DT03 Rec'd PCT/PT0 1 0 DEC 2004

PTO-1390 (Rev. 10-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES OT-5076 DESIGNATED/ELECTED OFFICE (DO/EO/US) see 37 CFR 1.5) APPLICATION NO (If known CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB02/02292 20 June 2002 TITLE OF INVENTION SAFETY TOP BALUSTRADE FOR A CAR OF A MACHINE ROOM-LESS ELEVATOR APPLICANT(S) FOR DO/EO/US Franck Det; Franck Dominguez Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. ~ The US has been elected (Article 31). V A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. V Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. L A preliminary amendment. 14. L An Application Data Sheet under 37 CFR 1.76. 15. L A substitute specification. 16. l A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. l A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. 🔽 Other items or information: Credit Card Form PTO-2038

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION N	IO. (if known, see 37 CFR 1	.5) INTERNATIONAL A	PPLICATION NO.	ATTORNEY'S DOCKET NUMBER		
10	10/517725 PCT/IB02/02292		/02292	OT-5076		
21. The following fees are submitted:			CALCULATIONS	PTO USE ONLY		
BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):						
nor international search	reliminary examination fee (3 h fee (37 CFR 1.445(a)(2)) p ch Report not prepared by th					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
and all claims satisfied	ry examination fee (37 CFR provisions of PCT Article 33 ENTER APPROPRIA	\$ 950.00				
Surcharge of \$130.00 from the earliest claims	for furnishing the oath or de ed priority date (37 CFR 1.49	\$ 0				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	6 - 20 =	0	X \$18.00	\$ O		
Independent claims	1 -3=	0	X \$88.00	\$ 0		
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)	1	+ \$300.00	\$ 360.00		
		\$ 1.310.00				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						
		\$ 1,310.00				
Processing fee of \$130 claimed priority date (3	0.00 for furnishing the Englis of CFR 1.492(f)).	\$ O				
		\$ 1,310.00				
	enclosed assignment (37 CF er sheet (37 CFR 3.28, 3.31)	\$ O				
		TOTAL FEE	S ENCLOSED =	\$ 1,310.00		
				Amount to be refunded:	\$	
				Amount to be charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Troxell K. Snyde		4///				
Otis Elevator Co		wder				
10 Farm Springs Troxell K. S Farmington, CT 06032 NAME				iyuei		
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			REGISTRATIO	ON NUMBER		



I hereby certify that the correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EF 358650970 US	
Express Mail Number	
December 10, 2004	
Date	
	layor D. R
	// Signature
	-
	Marybeth Roy
	Typed or printed name

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Enclosures:

- 1. Transmittal Letter to the United States Designated/Elected Office Concerning a Filing under 35 U.S.C. 371;
- 2. Declaration: Inventorship;
- 3. Information Disclosure Statement;
- 4. Form PTO/SB/08A;
- 5. Form PTO-2038;
- 6. Copy of International Preliminary Examination Report;
- 7. Copy of International Publication No. WO 04/000713 A1;
- 8. Copy of International Search Report and cited references.